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# Therapeutic potentials of mesenchymal stem cells for cognitive impairment in stroke: Evidence from preclinical studies

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#### ABSTRACT

Stroke is a debilitating neurological disorder that frequently results in cognitive impairments, significantly affecting the quality of life of post-stroke patients. Current therapeutic options for poststroke cognitive impairment (PSCI) are limited. Mesenchymal stem cells (MSCs) have emerged as a promising strategy for enhancing neurological recovery, including cognitive function. This review evaluates the application of MSCs in improving cognitive function in stroke patients, focusing on data from preclinical studies. Approximately 75% of strokes occur in the elderly population, and animal models have been developed to study the effects of MSCs on ischemic stroke in aged rats, as well as in the presence of comorbidities such as hypertension and diabetes. The potential of MSCs to enhance cognitive function following a stroke is believed to involve multiple mechanisms, including the secretion of trophic factors, immunomodulation, differentiation into neural cell types, promotion of angiogenesis, and replacement of damaged cells. However, several challenges remain to be addressed, including a lack of clear understanding of the biological processes contributing to MSC efficacy, standardization of MSC preparation, and determination of optimal treatment protocols. Further studies on the specific mechanisms of action and clinical application of MSCs are required to confirm their therapeutic efficacy for stroke patients. The development of innovative and targeted therapies for PSCI will ultimately improve the quality of life for stroke survivors.

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Key words: mesenchymal stem cell, post-stroke cognitive impairment, preclinical research, stroke

## **INTRODUCTION**

Stroke is a neurological deficit attributed to a sudden disruption in cerebral blood flow. There are two types of strokes: ischemic strokes, which comprise 87% of the cases and are caused by blockages in blood flow, and hemorrhagic strokes, which account for 13% and are caused by vessel rupture<sup>1</sup>. Annually, stroke affects approximately 15 million people globally, with five million fatalities and another five million people experiencing long-term disabilities<sup>2</sup>. At present, treatment options for stroke patients are restricted to dissolving thrombi using tissue plasminogen activators like alteplase or performing mechanical thrombectomy<sup>3</sup>. Thrombolysis is highly effective in treating strokes within 4.5 hours of onset, but its efficacy is limited for large thrombi or severe strokes<sup>4</sup>. Unfortunately, fewer than 5% of patients with ischemic stroke have access to these treatments, and many continue to experience neurological deficits post-treatment, with no available therapy to aid recovery<sup>5</sup>. Consequently, stroke patients may experience cognitive deficits in memory, language, attention, executive function, and orientation domains, significantly affecting their functional outcomes<sup>6</sup>.

Recently, the application of mesenchymal stem cells (MSCs) has gained significant attention as a promising therapy for stroke. Mesenchymal stem cells exert therapeutic effects through their capacity to proliferate, differentiate into various cell types, and regulate immune responses<sup>7</sup>. Mesenchymal stem cells have anti-inflammatory, anti-apoptotic, angiogenic, and neurogenic effects, which may contribute to their therapeutic potentials<sup>3</sup>. While several reviews have explored MSC therapy in stroke, our study focuses on preclinical evidence specifically related to cognitive improvement. Preclinical studies play a crucial role in understanding the potential mechanisms, efficacy, and safety of MSC therapy before it can be translated into clinical applications. Unlike many existing reviews that focus broadly on stroke recovery, this paper specifically highlights the effects of MSCs on cognitive function post-stroke. Additionally, this review discusses studies using animal models with comorbidities like aging, hypertension, and diabetes, which are common in stroke patients but often overlooked in research<sup>8</sup>.

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## STROKE AND COGNITIVE IMPAIRMENT

Post-stroke cognitive impairment (PSCI) is common and related to poor patient outcomes. Recent studies suggest that 20-80% of stroke survivors experience cognitive deficits within the first three months poststroke, and 7-23% of them progress to post-stroke dementia<sup>9</sup>. Post-stroke cognitive impairment affects multiple cognitive domains such as memory, attention, executive function, language, and visuospatial skills. These deficits can significantly impact the quality of life, independence, and overall functionality of patients with stroke. Individuals with PSCI may struggle with daily activities, maintain social relationships, and return to work, leading to increased disability and reliance on others<sup>10</sup>. Additionally, PSCI is associated with a higher risk of developing dementia, highlighting the importance of early detection and intervention<sup>9</sup>. As shown in Table 1, several neural regions affected by stroke can have a significant impact on cognitive function.

Given the significant impact of PSCI on patients' quality of life and the limitations of current therapeutic approaches, there is an urgent need for innovative treatments that can effectively address cognitive deficits following stroke. Despite notable advances in stroke treatment and rehabilitation, effective therapies for PSCI remain limited. Current strategies, including pharmacological treatments and cognitive rehabilitation, offer only modest improvements in cognitive function following stroke and often come with limitations in terms of efficacy, safety, and applicability across diverse patient populations<sup>13</sup>. Pharmacological treatments, such as cholinesterase inhibitors and memantine, have produced mixed and inconclusive results regarding their effectiveness in treating PSCI, and may also have side effects or be unsuitable for some patients<sup>14</sup>. Cognitive rehabilitation has the potential to enhance specific cognitive areas, but the benefit of these improvements to everyday functioning and long-term outcomes remains uncertain, and access to specialized cognitive rehabilitation services can be limited in resource-poor settings<sup>15</sup>.

The mechanisms underlying PSCI include oxidative stress, neuroinflammation, and impaired synaptic plasticity<sup>16,17</sup>. Mesenchymal stem cells have emerged as a promising therapeutic approach to address these pathologies due to their multifaceted properties. Given the potential of mesenchymal stem cells, it is crucial to examine the specific ways in which these cells can target and mitigate the underlying pathological processes.

## PRECLINICAL EVIDENCE

Before diving into the mechanisms, we briefly summarize the findings from preclinical studies of MSCs for stroke therapy, particularly using animal models. The majority of these studies utilized murines to develop a model of ischemic stroke via middle cerebral artery occlusion (MCAO). These models are frequently employed under various conditions, including aging, hypertension, and diabetes. Additionally, spontaneously hypertensive rats (SHRs) have been used in studies investigating the association between hypertension and stroke.

Approximately 75% of strokes occur in the elderly population <sup>18</sup>. Shen *et al.* (2007) created an ischemic stroke model using 10-12-month-old female retired breeder rats and confirmed the long-term neuroprotective effects of MSCs on ischemic stroke <sup>19</sup>. It is known that cerebral ischemia is associated with  $Ca^{2+}$ -induced calcineurin (CaN) hyperactivation, leading to neuronal apoptosis. Intra-arterial injection of MSCs in a rat model of ischemic stroke was able to reduce CaN expression, rescuing neurons and promoting their survival<sup>20</sup>.

As hypertension is a common comorbidity in patients with stroke, animal models with hypertensive ischemic stroke have been developed using strokeprone spontaneously hypertensive rats (SHRSP), which spontaneously develop hypertension and exhibit cerebrovascular pathology akin to human hypertension<sup>21</sup>. In this model, MSC administration prevented neural cell death through increased expression of anti-apoptotic proteins and improved antioxidative mechanisms<sup>22</sup>. Furthermore, treatment with maternal-derived MSCs remarkably improved functional outcomes and reduced infarct lesions in hypertensive ischemic mice<sup>23</sup>.

Diabetes has been established as a significant risk factor for stroke, particularly ischemic stroke. Therefore, it is crucial to study the molecular mechanisms underpinning the increased stroke incidence in diabetes. Hyperglycemic mice exhibited greater infarct volume following permanent MCAO compared to non-hyperglycemic counterparts. This study showed that treatment with human ADSCs did not reduce the lesion size in hyperglycemic stroke rats but significantly improved neurological function<sup>24</sup>. In addition, intravenous administration of BM-MSCs significantly decreased blood-brain barrier leakage and enhanced vascular and white matter regeneration in type 1 (56) and type 2 (57) diabetic rats, suggesting that MSCs may have neuroprotective effects on stroke patients<sup>25</sup>.

Brain area damage	Cognitive Function Impaired	References
Frontal Lobe	Executive function, attention, working memory, behaviour change	11
Parietal Lobe	Visuospatial abilities, attention, language, body awareness	12
Temporal Lobe	Memory, language, auditory processing	12
Hippocampus	Episodic memory formation and retrieval	12
Amygdala	Emotional processing, fear conditioning, memory modulation	12
Basal Ganglia	Motor control, procedural learning, cognitive flexibility	12
Cerebellum	Coordination, motor skills, working memory, language processing	12

Table 1: Brain damage and corresponding cognitive function impairments in patients with stroke

Table 2: Review of experimental stroke treatments using mesenchymal stem cells in animals

Animal species	Comorbidi	Cell source	Cell number	Timing	Key findings	Limitations	References
SD	-	ВМ	10 <sup>5</sup>	10 days	Neuronal regeneration	Limited to hypoxia- preconditioned MSCs	26
SD	-	ВМ	3x10 <sup>6</sup>	8 days	Reduce infarction volume	Small sample size	27
Wistar	-	BM	10 <sup>6</sup>	1,6,24,48 h	Angiogenesis	Short-term follow up	28
SD	-	BM	2x10 <sup>5</sup>	1 day	Protect ischemic neurons	Atypical contralateral cell transplantation site	
Wistar	Aging	ВМ	2x10 <sup>6</sup>	1 day	Long term improvement in functional outcome	Short-term follow up	19
SD	Aging	BM	10 <sup>5</sup>	6 h	Elevate the functional outcome	Short-term follow up	20
SHR	Hypertensi	BM	10 <sup>6</sup>	-	Neuroprotective and antioxidant potential	Use of non-autologous MSCs	s 22
SHR	Hypertensi	Placenta	10 <sup>6</sup>	8,24 h	Functional recuperation	Lack of long-term cell tracking and survival analysis	
SD	Hypertensi	Adipose tissue	10 <sup>6</sup>	48 h	Neurological recuperation	Short-term follow up	24
Wistar	Diabetes	ВМ	5x10 <sup>6</sup>	24 h	Neurorepair recuperation	Short-term follow up	25

Abbreviations: BM: Bone marrow, MSCs: Mesenchymal stem cells, SD: Sprague-Dawley rat, SHR: Spontaneously hypertensive rat

# UNDERLYING MECHANISMS OF MESENCHYMAL STEM CELL FOR COGNITIVE IMPROVEMENT IN STROKE

Mesenchymal stem cells are known for their exceptional ability to self-renew and differentiate into various mesenchymal lineages, including adipocytes, chondrocytes, osteoblasts, neurons, and glial cells, under specific conditions<sup>30</sup>. Mesenchymal stem cells can be obtained from various adult cells, with adipose tissue and bone marrow being the most frequent sources used in MSC-based studies<sup>7</sup>. The potential of MSCs to enhance cognitive function following stroke is believed to involve multiple mechanisms, including the secretion of trophic factors, immunomodulation, differentiation into neural cell types, promotion of angiogenesis, and replacement of damaged cells, all of which contribute to their therapeutic effects (**Figure 1**)<sup>31</sup>. The following sections will explore these mechanisms in more detail.

#### **Secretion of Trophic Factors**

Mesenchymal stem cells are a promising option for stroke therapy because they possess the ability to either release or stimulate the secretion of trophic factors, which are crucial in the mechanisms underlying stroke treatment. These trophic factors include neurotrophic factors like brain-derived neurotrophic factor (BDNF), glial cell line-derived neurotrophic factor (GDNF), nerve growth factor (NGF), vascular endothelial growth factor (VEGF), and platelet-derived growth factor (PDGF). These proteins support cell survival, growth, and angiogenesis<sup>32</sup>. BDNF is a key protein secreted by MSCs that supports neuronal survival and promotes synaptic plasticity. BDNF exerts its effects by binding to the tropomyosin receptor kinase B (TrkB) receptor, triggering signaling pathways like the mitogen-activated protein kinase (MAPK) and phosphatidylinositol 3-kinase (PI3K) pathways. These pathways regulate gene expression, protein synthesis, and cytoskeletal dynamics, which are vital for synaptic plasticity and cognitive function<sup>33</sup>. In stroke models, increased BDNF levels following MSC treatment have been associated with improved memory, suggesting its important role in cognitive recovery <sup>34</sup>. GDNF is known to support the survival and differentiation of dopaminergic neurons, crucial for cognitive tasks such as attention and executive function<sup>35</sup>. The mechanism of action involves GDNF binding to the GDNF family receptor  $\alpha 1$  (GFR $\alpha 1$ ), forming a complex with the receptor tyrosine kinase. This complex activates downstream pathways, including PI3K/Akt,

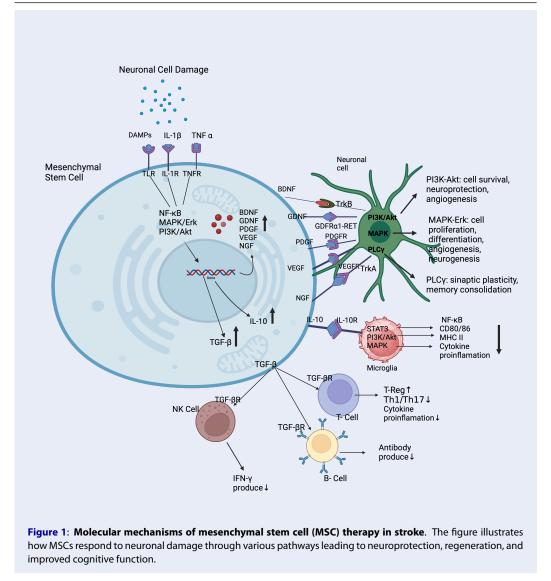
MAPK/Erk, and phospholipase C gamma (PLC $\gamma$ ). These pathways promote neuronal survival, growth, synaptic plasticity, and long-term memory consolidation. In stroke animal models, GDNF has been shown to enhance memory and learning <sup>36</sup>.

In the context of stroke and cognitive function, NGF has been shown to support the survival of cholinergic neurons in the basal forebrain, which are critical for attention, memory, and learning processes. NGF primarily acts by binding to its high-affinity receptor, tropomyosin receptor kinase A (TrkA), which initiates several intracellular signaling cascades. The activation of TrkA leads to the stimulation of three main pathways: the Ras/MAPK pathway, which promotes neuronal survival and differentiation, the PI3K/Akt pathway, which inhibits apoptosis and promotes cell survival, and the PLC $\gamma$  pathway, which contributes to neuronal plasticity and neurotransmitter release.

VEGF exhibits direct neuroprotective effects by activating anti-apoptotic pathways and promoting angiogenesis. VEGF primarily acts by binding to its receptors, VEGFR-1 (Flt-1) and VEGFR-2 (KDR/Flk-1), which are expressed on endothelial cells and neurons. This binding triggers several intracellular signaling cascades, including the PI3K/Akt pathway, which promotes cell survival, and the Ras/MAPK pathway, which stimulates cell proliferation and migration of endothelial cells, leading to the formation of new blood vessels in the ischemic zone<sup>37</sup>.

PDGF is another important trophic factor secreted by MSCs that has multiple beneficial effects in the context of stroke recovery. PDGF acts by binding to its receptors (PDGFR- $\alpha$  and PDGFR- $\beta$ ), which are receptor tyrosine kinases. This binding triggers receptor dimerization and autophosphorylation, leading to the activation of several signaling pathways, including the PI3K/Akt pathway, the Ras/MAPK pathway, the PLC $\gamma$  pathway, and the JAK/STAT pathway. These pathways collectively promote cell survival, proliferation, and migration, contributing to neurogenesis and angiogenesis after stroke. PDGF has been shown to enhance cell migration, promote the growth of primary cortical neurons, reduce neuroinflammation, and support angiogenesis and axon growth <sup>38</sup>.

A study conducted by Nakazaki et al. in 2020 revealed that the infusion of MSCs improved cognitive function in stroke models through the secretion of transforming growth factor- $\beta$ 1 (TGF- $\beta$ 1) and angiopoietin-1 (Ang1). TGF- $\beta$ 1 plays a role in the growth and specialization of endothelial cells and pericytes, which are essential elements of the cerebral microvasculature. Ang1, however, has a function in maintaining the stability of blood vessels and is



mostly released by pericytes following the administration of MSCs. Thus, these trophic factors secreted by MSCs play important roles in the improvement of cerebral microvasculature, stabilization of the bloodbrain barrier, and reduction of amyloid- $\beta$  accumulation in the brain. Consequently, this leads to enhanced cognitive function in stroke models<sup>39</sup>. To better illustrate the various mechanisms involved in MSC therapy for stroke, Figure 1 provides an overview of the therapeutic benefits, key proteins, and mechanisms involved in mesenchymal stem cell therapy for stroke.

#### Immunomodulation

Mesenchymal stem cells exhibit potent immunomodulatory capabilities, allowing them to effectively reg-

ulate immune reactions and facilitate neural restoration and growth 40. Two important immunomodulatory molecules secreted by MSCs include interleukin-10 (IL-10) and transforming growth factor-beta (TGF- $\beta$ ). IL-10 production by MSCs is regulated through the STAT3 pathway, while TGF- $\beta$  production is primarily controlled by the NF- $\kappa$ B and PI3K/Akt pathways. IL-10 binds to the IL-10R complex on immune cells and activates STAT3 signaling to suppress MHC class II expression, co-stimulatory molecules (CD80/86), and pro-inflammatory cytokine production. Similarly, TGF- $\beta$  signals through the TGF- $\beta$ R1/R2 complex and activates the SMADdependent pathway to induce regulatory T cells and suppress effector immune responses. These paracrines reduce brain inflammation as a result of suppression in the activation and proliferation of proinflammatory immune cells, such as T cells and microglia<sup>41</sup>. In animal models of stroke, MSC transplantation has been observed to elevate levels of IL-10 and TGF- $\beta$  in the brain, which is associated with enhanced cognitive function<sup>42</sup>.

#### Neuroregeneration

While the extent and functional impact of this differentiation in vivo remains under investigation, increasing evidence supports the capacity of MSCs to develop into various neural cell types, including neurons, astrocytes, and oligodendrocytes<sup>43</sup>. This potentially supports the structural repair of the brain after injury or disease. Research has shown that MSCs can differentiate into neural cell types following induction with specific growth and neurotrophic factors. Previous studies demonstrated that epidermal growth factor (EGF) and basic fibroblast growth factor (bFGF) induced the transformation of MSCs into neurospheres, which are subsequently capable of differentiating into neurofilament-positive neurons or glial fibrillary acidic protein (GFAP)-positive glial cells<sup>44</sup>. Furthermore, brain-derived neurotrophic factor (BDNF) and retinoic acid can stimulate MSCs to become neuron-like cells expressing markers such as  $\beta$ -III tubulin and microtubule-associated protein 2 (MAP2)<sup>45</sup>. Mesenchymal stem cells can also be induced to differentiate into astrocyte-like cells expressing GFAP using glial cell-derived neurotrophic factor (GDNF). Adipose-derived stem cells (ADSCs) differentiate into neurons or glial cells and express specific molecular markers, such as neuron-specific  $\beta$ -tubulin (Tuj-1), neuron-specific enolase (NSE), MAP2, and neuronal nuclei (NeuN) for neurons, GFAP for astrocytes, and 2',3'-cyclic-nucleotide 3'phosphodiesterase (CNPase) for oligodendrocytes<sup>46</sup>.

#### **Enhancement of Angiogenesis**

Mesenchymal stem cells have been shown to attenuate cognitive deficits in stroke patients by promoting angiogenesis, which is often disrupted by strokeinduced damage to cerebral vasculature. In a rat stroke model, BMSCs treatment significantly promoted vascular stabilization, which was mediated by increased expression of VEGF/VEGF receptor and angiopoietin-1 (Ang-1)<sup>47</sup>. Furthermore, conditioned medium (CM) derived from human embryonic stem cell (hESC)-MSC has been shown to accelerate recovery from ischemic infarct in a murine model for cerebral ischemia. The therapeutic effect of CM was attributed to increased blood flow supply through the expression of CD31, a proangiogenic molecule expressed by vascular endothelial cells<sup>43</sup>.

## CHALLENGES AND FUTURE DIRECTIONS

The development of MSC-based therapies for improving cognitive function in stroke patients faces several challenges. One of the primary obstacles is the limited data on the mechanisms by which MSCs exert their therapeutic effects on the brain. Further studies to gain a deeper understanding of the mechanistic pathways underlying the neuroprotective and neuroregenerative effects of MSCs are warranted 48. Additionally, the optimal dosage, timing, and route of administration for MSC therapy in stroke patients remain to be determined<sup>49</sup>. The standardization of cell isolation, culture, and characterization protocols is also crucial to ensure the reproducibility and reliability of the results<sup>50</sup>. To address these challenges, future research should focus on elucidating specific molecular mechanisms, particularly the roles of key proteins such as BDNF, GDNF, and their respective receptors (TrkB and GFRa1) in MSC-mediated cognitive improvement. Investigating downstream signaling pathways like PI3K/Akt and MAPK could reveal potential targets for enhancing MSC efficacy.

Moreover, innovative approaches such as combining MSC therapy with rehabilitation techniques or utilizing advanced delivery methods (*e.g.*, engineered scaffolds or nanoparticles) could optimize treatment protocols. Exploring the impact of different culture conditions, such as hypoxic preconditioning, on MSC therapeutic potential may also lead to improved outcomes.

Despite these challenges, the potential of MSC-based therapies to enhance cognitive function in stroke patients presents exciting opportunities for future research and development. The findings of this study have important implications for clinical practice, as they suggest that MSC therapy could be a promising adjuvant treatment for cognitive impairment following stroke. Future directions in this field may include the development of personalized cell-based therapies tailored to individual patient needs, as well as the exploration of combination therapies that integrate MSCs with other neuroprotective or neuroregenerative agents. Moreover, cohort prospective studies are necessary to evaluate the sustainability of cognitive benefits and monitor any potential adverse effects associated with MSC therapy in patients with stroke.

## ABBREVIATIONS

ADSCs - Adipose-Derived Stem Cells, Ang1 -Angiopoietin-1, BDNF - Brain-Derived Neurotrophic Factor, BM-MSCs - Bone Marrow Mesenchymal Stem Cells, BMSCs - Bone Marrow Stem Cells, CaN - Calcineurin, CM - Conditioned Medium, CNPase - 2',3'-Cyclic-nucleotide 3'-Phosphodiesterase, EGF - Epidermal Growth Factor, GFAP - Glial Fibrillary Acidic Protein, GDNF - Glial Cell Line-Derived Neurotrophic Factor, GFRa1 -GDNF Family Receptor a1, hESC - Human Embryonic Stem Cell, IL-10 - Interleukin-10, MAP2 -Microtubule-Associated Protein 2, MAPK - Mitogen-Activated Protein Kinase, MCAO - Middle Cerebral Artery Occlusion, MSCs - Mesenchymal Stem Cells, NeuN - Neuronal Nuclei, NF-KB - Nuclear Factor kappa-light-chain-enhancer of activated B cells, NGF - Nerve Growth Factor, NSE - Neuron-Specific Enolase, PDGF - Platelet-Derived Growth Factor, PI3K - Phosphatidylinositol 3-Kinase, PLCy - Phospholipase C Gamma, PSCI - Post-Stroke Cognitive Impairment, SHRs - Spontaneously Hypertensive Rats, SHRSP - Stroke-prone Spontaneously Hypertensive Rats, SMAD - A family of proteins involved in signal transduction, **TGF-** $\beta$  - Transforming Growth Factor-beta, TGF- $\beta$ 1 - Transforming Growth Factor- $\beta$ 1, TrkB - Tropomyosin Receptor Kinase B, Tuj-1 Neuron-specific  $\beta$ -Tubulin, VEGF - Vascular Endothelial Growth Factor

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## **AUTHOR'S CONTRIBUTIONS**

All authors contributed equally to this work, and they have read and approved the final manuscript.

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## AVAILABILITY OF DATA AND MATERIALS

Not applicable.

# ETHICS APPROVAL AND CONSENT TO PARTICIPATE

Not applicable.

## **CONSENT FOR PUBLICATION**

Not applicable.

## **COMPETING INTERESTS**

The authors declare that they have no competing interests.

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